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PATENT

Paper No.

File: Newmrkt-P198

Date: September 15, 2000

Signed: 
Peter K. Trzyna (Reg. No. 32,601)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Inventor : Anthony F. Herbst and Wayne F. Perg
Serial No. : 09/197,908
Filed : November 23, 1998
For : DIGITAL COMPUTER SYSTEM AND METHODS
FOR MANAGING AN AUCTION MARKET FOR
PREFERRED-RETURN SECURITIES
Group Art Unit : 2761
Examiner : Retta Yehdega

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

TRANSMITTAL LETTER

S I R :

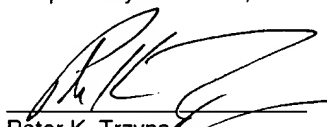
Please enter the following enclosed documents in the above-identified patent application.

1. Response; and
2. 26 C.F.R. Excerpt.

The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235. Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: September 15, 2000


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